

## **Analytical Report on the Results of Thematic Anti-Corruption Monitoring**

### **I. Introduction**

1. Name of the field of activity of the quasi-public sector entity, regarding whose activity the thematic anti-corruption monitoring was conducted: the activity of "Export Credit Agency of Kazakhstan" JSC (hereinafter – the Company) based on the results of the 1st quarter of 2026.

2. The thematic anti-corruption monitoring was conducted by: Assel Seitjanovna Zhakayeva, Head of the Compliance Service, office phone 8 (7172) 95-56-56 (ext. 155).

3. Period of the thematic anti-corruption monitoring: started on March 31, 2026, finished on April 05, 2026.

### **II. Information and Analytical Part**

#### **Conducting inspections and audits**

Inspections or audits by state bodies regarding the Company were not conducted during the observed period.

At the same time, by Order of the Supreme Audit Chamber of the Republic of Kazakhstan No. 30-12-N dated December 22, 2025, recommendations were given based on the results of the state audit of the effectiveness of providing state support measures to private entrepreneurship entities, with an implementation deadline of July 1, 2026.

#### **Appeals and publications regarding cases of corruption manifestations or official abuses**

In the reporting period, the Company received no written appeals, nor appeals sent to the "Senim" trust email [senim@kazakhexport.kz](mailto:senim@kazakhexport.kz) and the "Senim" trust hotline 8 (7172) 55 44 70, containing information about corruption manifestations, official abuses, or fraudulent actions by officials and employees of the Company.

In periodic printed, television, and electronic mass media (media), as well as social networks, there were no publications containing information on facts of fraud, corruption manifestations, or official abuses in the activities of the Company and its employees.

The total number of mentions of the Company and its activities in the mass media for the 1st quarter of the current year amounted to 710 mentions.

Of these: 582 mentions of the Company were of a positive nature;

128 were of a neutral nature;

publications of a negative tone - none.

#### **Information from legal statistics authorities, international and non-governmental organizations**

Within the framework of the ongoing monitoring, documents on claim and litigation work conducted by employees of the Legal Support Department and the Department for Insurance and Guarantee Payments during the first quarter of the current year were studied, along with official Internet resources of the Financial Monitoring Agency of the Republic of Kazakhstan, the Anti-Corruption Service of the National Security Committee of the Republic of Kazakhstan, the Supreme Court of the Republic of Kazakhstan, the Committee on Special Records and Legal

Statistics of the General Prosecutor's Office of the Republic of Kazakhstan, and data from the "Kompra" information and reference service.

Anti-corruption monitoring did not detect cases of initiation of criminal cases or criminal prosecution for committing corruption offenses by employees and officials of the Company.

There were no facts of initiation of proceedings against the Company and its officials regarding cases of administrative corruption offenses.

There are no court proceedings on criminal cases or cases on administrative corruption offenses involving the Company, its employees, and officials.

On the Internet resources of international and non-governmental organizations such as the United Nations, the Eurasian Development Bank, the World Bank, Transparency International (a non-governmental international organization for the fight against corruption and the study of corruption levels), and FATF (an intergovernmental organization – the Financial Action Task Force on Money Laundering), there were no mentions of corruption manifestations in the Company's activities or its performance of operations for the legalization of proceeds from crime.

### **Compliance with anti-corruption restrictions and standards**

During the monitoring, no cases of non-compliance by officials from among the members of the Management Board and employees of the Company with anti-corruption restrictions and standards provided for by the norms of the Law of the Republic of Kazakhstan "On Combating Corruption" and the Anti-Corruption Policy of the Company were established.

No facts of non-compliance with established anti-corruption restrictions and standards were established: no mentions or information were identified regarding the existence of bank accounts and deposits located outside the Republic of Kazakhstan held by persons performing managerial functions in the Company and Company employees, lobbying of personal interests during the review of draft transactions concluded by the Company with clients and service (goods) providers, joint work of close relatives and in-laws in the Company, or the use for personal purposes and disclosure of confidential information.

There are no cases of hiring persons who have committed corruption crimes as employees of the Company, which is confirmed by the presence of relevant certificates issued by the territorial bodies of the Committee on Special Records and Legal Statistics of the General Prosecutor's Office of the Republic of Kazakhstan in the electronic personal files of employees formed by the Human Resources and Operations Department.

### **Existence of conflict of interest and its settlement**

In the observed period, no cases of conflict of interest in the current work of officials and employees of the Company were noted.

### **Formation of anti-corruption culture**

In the 1st quarter of the current year, training was conducted for the Company's employees via online learning on March 26, 2026, on the topic "Ethics and Integrity in the Public and Corporate Sector," within the framework of which the importance of the principles of integrity, honesty, and incorruptibility in professional activities, the main requirements of the legislation of the Republic of Kazakhstan and internal documents of the Company in the field of anti-corruption, including issues of preventing conflict of interest, the inadmissibility of

manifestations of nepotism, favoritism, and other forms of unlawful behavior, as well as approaches to making ethically grounded decisions, the procedure for informing about possible violations, the role of the Compliance Service in monitoring violations, and available channels for confidential informing about manifestations of corruption in the Company, were brought to the attention of employees. Based on the results of the training, testing of employees was conducted.

### **III. Final Part**

There are no confirmed cases of corruption offenses in the activities of employees and officials of the Company in the observed period.

Anti-corruption restrictions and standards in the current work of officials and employees of the Company have been observed.

**Head of the Compliance Service**

**A. Zhakayeva**