

The Export credit agency of Kazakhstan JSC anti-corruption monitoring results for the third quarter of 2024

I. Introduction

1. **Name of the quasi-public sector entity, which activities were subject to anti-corruption monitoring:** The Export credit agency of Kazakhstan JSC (hereinafter referred to as the Company).

2. **Anti-corruption monitoring** was carried out by Assel Zhakaeva, the Head of the Compliance Service, Bakytzhan Tumenbayev, the Chief Compliance Controller.

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3. **Anti-corruption monitoring period:** from December 23 to December 31, 2024.

4. **Observed period:** from October 1 to December 31, 2024.

II. Informational and analytical part

Inspections and Audits

No Company inspections or audits were carried out by state authorities during the review period.

Appeals and Publications on Cases of Office Corruption or Abuse

Within the review period, the Company did not receive any written appeals, as well as appeals to the **senim@kazakhexport.kz** helpline and the Senim helpline 8 (7172) 55 44 70, containing information about abuses or corruption in the actions of the Company's officials and employees, as well as about fraudulent actions cases.

Periodicals, television and electronic mass media, as well as social networks, did not post publications, articles, notes mentioning information about Company's fraud cases, office officials and employee's corruption or abuse.

The total number of Company references and its activities in social networks and the media for the 4rd quarter of this year amounted to 520 mentions.

Of these, 371 Company references were positive;

149 were of a neutral nature;

There are no mentions with negative connotations.

Information from legal statistics, international and non-governmental organizations

As part of the monitoring, claim work documents were studied which were carried out by employees of the Legal Support Department during the third quarter, official Internet resources of the Financial Monitoring Agency of the Republic of Kazakhstan, the Anti-Corruption Agency of the Republic of Kazakhstan (Anti-Corruption Service), the Supreme Court of the Republic of Kazakhstan, the Committee of the Prosecutor

General's Office of the Republic of Kazakhstan on Special Accounting and Legal Statistics, data from the information and reference service "Kompra" were studied.

Anti-corruption monitoring did not give any cases of criminal case initiation and criminal prosecution of employees and officials of the Company for committing corruption offenses.

There were no facts of initiation of proceedings against the Company and its officials in cases of administrative corruption offenses.

There were no court proceedings on criminal and administrative corruption offenses with the Company's participation, its employees and officials.

On the Internet resources of international and non-governmental organizations, such as the United Nations, the Eurasian Development Bank, the World Bank, Transparency International (a non-governmental international organization for combating corruption and researching the level of corruption), FATF (an international organization - the Group for the Implementation of Measures against Money Laundering), there were no references to manifestations of corruption in the Company's activities or on the implementation of legalization operations for its crime proceeds.

Compliance with anti-corruption restrictions and standards

During monitoring, cases of non-compliance by members of the Management Board and employees of the Company with anti-corruption restrictions and standards provided for by the provisions of the Law of the Republic of Kazakhstan On Combating Corruption and the Company's Anti-Corruption Policy were not established.

No facts of non-compliance with the established anti-corruption restrictions and standards were established: no mentions or information were found about the presence of accounts and deposits of the Company's members and employees in banks located outside the Republic of Kazakhstan, lobbying of personal interests when considering draft transactions concluded by the Company with clients and suppliers of services (goods), joint work of close relatives and relatives in the Company, use for personal purposes and disclosure of confidential information.

There are no cases of hiring persons who have committed corruption crimes as employees of the Company, which is confirmed by the presence of relevant certificates issued by the territorial bodies of the Committee of the Prosecutor General's Office of the Republic of Kazakhstan on Special Accounting and Legal Statistics in the electronic personal files of employees formed by the Department for Human Resources Management.

Conflict of Interest and Its Resolution

In the period under review, there were no conflicts of interest in the day-to-day work of the Company's officers and employees.

At the same time, it should be noted that in the reporting period, the Company carried out work on the introduction of a module in the information system of the Company's business processes for declaring information about potential conflicts of

interest by officials and employees of the Company, which was put into commercial operation.

III. Concluding Part

Conclusions:

There were no confirmed cases of corruption offenses in the activities of the Company's employees and officials in the period under review.

Anti-corruption restrictions and standards in the day-to-day work of the Company's officers and employees have been observed.

A. Zhakaeva

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B. Tumenbaev

Chief Compliance Controller