

## **Results of anti-corruption monitoring, held in the KazakhExport EIC JSC for 2022**

### **I. Introduction**

1. **Name of the entity of the quasi-public sector, whose activities were subject to anti-corruption monitoring:** the KazakhExport EIC JSC (hereinafter referred to as the Company, association).

2. **Anti-corruption monitoring** was carried out by the Head of the Compliance Service Kabsamatov Kuanyshev Akayevich.

Work phone 8 (7172) 95-56-56, extension number 183.

3. **The period of anti-corruption monitoring:** started on December 23 and ended on December 30, 2022.

4. **Observed period:** from 01.01.2022 to 12.30.2022.

### **II. Information and analytical part**

During the observed period, by the financial regulator represented by the Agency of the Republic of Kazakhstan for the regulation and development of the financial market, as well as law enforcement agencies, authorized state bodies vested with control and supervisory functions of checking on compliance with the requirements of the legislation of the Republic of Kazakhstan on combating corruption, on insurance activities, in the main areas of the Company's activities, were not carried out.

The Company is not endowed with state functions, as a result of which it does not provide public services.

During the observed period, there were no reports or complaints from individuals and legal entities about abuse or corruption manifestations in the actions of officials and employees of the Company, as well as about cases of fraudulent actions.

There were no publications, articles, posts mentioning information about cases of fraud in the Company, corruption and abuse of office among its officials and employees in periodical print, television and electronic media, as well as social networks.

In 2022, there were no cases of initiating criminal cases and bringing to criminal liability for committing corruption offenses of employees and officials of the Company.

There were no facts of initiating proceedings against the Company and its officials in cases of administrative corruption offenses.

There were no court proceedings in criminal and civil cases, cases of administrative corruption offenses involving the Company, its employees and officials.

There were no facts of bringing the company or its officials to criminal or administrative liability for crimes or offenses related to the legalization of proceeds from crime or the financing of terrorism.

During the observed period, no information was received from international and non-governmental organizations such as the World Bank, the Transparency International (non-governmental international organization for the fight against corruption and the study of the

level of corruption), the FATF (international organization - Anti-money laundering response team) there was no mention of signs of corruption in the Company's activities or of its operations for the legalization of proceeds from crime.

The Company was not included in the lists of organizations not suitable for business cooperation, posted on the Internet resource of the World Bank (World Bank Debarred List).

According to Article 11 of the Law of the Republic of Kazakhstan On Combating Corruption, in the observed period, financial control measures were observed: Members of the Management Board of the Company (they are persons performing management functions in the Company) and their spouses provided in electronic format declarations of income and property to the territorial bodies of state income.

In accordance with Article 12 of the Law On Combating Corruption and the Corporate Anti-Corruption Policy, control over compliance by persons performing managerial functions in the Company with the anti-corruption restrictions previously assumed by them was ensured.

In 2022, the Company did not violate the legal prohibition for the employment of persons who have committed corruption crimes.

During the procedure for selecting applicants for vacant positions, the Company's Human Resources Management Department regularly requests a certificate confirming that the applicant has not committed a corruption crime.

This Certificate is given by the database of territorial bodies of the Committee of the General Prosecutor's Office of the Republic of Kazakhstan on special records and legal statistics.

In 2022, cases of a conflict of interest in the current work of officials and employees of the Company were not identified.

As part of the implementation of anti-corruption training measures during 2022, the Compliance Service and the Human Resources Management Department conducted training for the Company's employees on the following topics:

- "Schemes of fraud in the Company and measures to prevent them";
- "Implementation of the Company's anti-corruption policy."

### **III. Final part**

#### **Conclusions:**

There are no confirmed cases of corruption signsanifestations and cases of corporate fraud in the Company's activities in the observed period.

The activities of the Company and its officials ensured compliance with the requirements of the legislation of the Republic of Kazakhstan and corporate regulatory documents on anti-corruption restrictions, financial control measures, the exclusion of cases of conflict of interest, and the formation of an anti-corruption corporate culture.

**K.Kabsamatov**

**Head of the Compliance Service**