

Results of the anti-corruption monitoring conducted in "EIC "KazakhExport" JSC for 2022

I. Introductory part

1. **The name of the quasi–public sector entity whose activities were subject to anti-corruption monitoring:** «KazakhExport» EIC» JSC (hereinafter referred to as the Company).

2. **Anti-corruption monitoring** was conducted by the Head of the Compliance Service Kabsamatov Kuanysh Akaevich.

Work phone 8 (7172) 95-56-56, internal number 183.

3. **The period of anti-corruption monitoring:** from December 23 to December 30, 2022.

4. **Observed period:** from January 01, 2022 to December 30, 2022.

II. Information and analytical part

During the observed period, the financial regulator, represented by the Agency of the Republic of Kazakhstan for Regulation and Development of the Financial Market, as well as law enforcement agencies, authorized state bodies endowed with control and supervisory functions, did not conduct inspections on compliance with the requirements of the legislation of the Republic of Kazakhstan on combating corruption, on insurance activities, in the main areas of the Community's activities.

The Company is not endowed with state functions, as a result of which it does not provide public services.

During the observed period, there were no reports or complaints from individuals and legal entities about abuse or corruption in the actions of officials and employees of the Company, as well as cases of fraudulent actions on their part.

Publications, articles, posts mentioning information about cases of fraud in the Company, corruption manifestations and official abuses among its officials and employees were not published in periodical print, television and electronic media, as well as social networks.

In 2022, there were no cases of initiation of criminal cases and bringing to criminal responsibility for committing corruption offenses of employees and officials of the company.

There were no facts of initiation of proceedings against the Company and its officials in cases of administrative corruption offenses.

There have been no court proceedings in criminal and civil cases, cases of administrative corruption offenses involving the company, its employees and officials.

There were no facts of bringing the company or its officials to criminal or administrative responsibility for crimes or offenses related to the legalization of proceeds from crime or the financing of terrorism.

During the observed period of time, no information was received from international and non-governmental organizations, such as the World Bank, Transparency International (a non-governmental international organization for combating corruption and investigating the level of corruption), FATF (an international organization - a group for the development of measures to combat money laundering) mentioning the manifestations of corruption in the company's activities or its implementation. There were no operations to legalize the proceeds of crime.

The company was not included in the lists of organizations unsuitable for business cooperation posted on the World Bank's Internet resource (WorldBankDebarredList).

According to Article 11 of the Law "On Combating Corruption", financial control measures were observed during the observed period: members of the company's Management Board (who are persons performing managerial functions in the company) and their spouses were provided in electronic format with income and property declarations to the territorial state revenue authorities.

According to article 12 of the Law "On Combating Corruption" and the Corporate Anti-Corruption Policy, control was ensured over compliance by persons performing managerial functions in the Company under the anti-corruption restrictions they had previously assumed.

In 2022, the company did not violate the legislative ban on the employment of persons who committed corruption crimes.

The Human Resources Management Department of the company, during the selection procedure for applicants for vacant positions, regularly requests a certificate stating that the applicant has not committed a corruption crime.

This certificate is provided from the database of the territorial bodies of the Committee of the Prosecutor General's Office of the Republic of Kazakhstan on special accounting and legal statistics.

In 2022, there were no cases of conflict of interest in the current work of the Company's officials and employees.

As part of the implementation of anti-corruption training measures during 2022, the Compliance Service and the Department of Human Resources Management conducted training of the company's employees on the following topics:

- "Fraud schemes in the company and measures to prevent them";
- "Implementation of the company's anti-corruption policy".

III. The final part

Conclusions:

There are no confirmed cases of corruption and cases of corporate fraud in the Company's activities in the observed period.

Compliance in the activities of the Company and its officials with the requirements of legislation and corporate regulatory documents on anti-corruption restrictions, financial control measures, exclusion of the implementation of cases of conflict of interests, the formation of an anti-corruption corporate culture has been ensured.