

REGISTER
**of issues considered at the meetings of the Board of Directors of JSC “EIC “KazakhExport” for the 3rd quarter of
2021.**

Date and minutes number	Agenda item
<p style="text-align: center;">No.7 of August 13, 2021 (face-to-face)</p>	On approval of the adjusted Development Plan of JSC “EIC “KazakhExport” for 2019-2023 in the part of 2021-2023.
	On approval of the Risk Management Report of JSC “EIC “KazakhExport” for the 2nd quarter of 2021.
	On the conclusion of an additional agreement to the Voluntary Loan Insurance Agreement between JSC “EIC “KazakhExport”, JSC “Development Bank of Kazakhstan” and LLP “Sey-Nar Industrial Complex”, in the conclusion of which JSC “EIC “KazakhExport” has an interest.
	On the conclusion of an additional agreement to the Voluntary Loan Insurance Agreement between JSC “EIC “KazakhExport”, JSC “Development Bank of Kazakhstan” and LLP “Kainar-AKB”, in the conclusion of which JSC “EIC “KazakhExport” has an interest.
	On approval of the Information Security and Information Technology Audit Report conducted by “KPMG Tax and Advisory” LLP.

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	On approval of the Activities Report of the Internal Audit Service of JSC "EIC "KazakhExport" for the 2nd quarter of 2021, the KPI Performance Report of the Head and Chief auditor of the Internal Audit Service of JSC "EIC "KazakhExport" for the 2nd quarter of 2021 and the issue of bonuses to the Head and Chief Auditor of the Internal Audit Service of JSC "EIC "KazakhExport" for the 2nd quarter of 2021.
	On approval of the Work Report of the Board of Directors, Committees of the Board of Directors, the Corporate Secretary of JSC "EIC "KazakhExport" for the 2nd quarter of 2021, the KPI performance Report of the Corporate Secretary of JSC "EIC "KazakhExport" for the 2nd quarter of 2021 with the actual performance and bonuses to the Corporate Secretary of JSC "EIC "KazakhExport" for the 2nd quarter of 2021.
	On approval of the Work Report of the Compliance Service of JSC "EIC "KazakhExport" for the 2nd quarter of 2021, on the approval of Reports on the Execution of Cards of Key Performance Indicators of the Head and Chief Compliance Controller of the Compliance Service of JSC "EIC "KazakhExport" for the 2nd quarter of 2021 and bonuses to employees of the Compliance Service of JSC "EIC "KazakhExport" for the 2nd quarter of 2021.
	On approval of the Work Plan (Compliance Program) of the Compliance Service of JSC "EIC "KazakhExport" for 2021 in the new edition and on the approval of the cards of Key Performance Indicators of employees of the Compliance Service of JSC "EIC "KazakhExport" for the 4th quarter of 2021 in the new edition.
	On early termination of powers of the Ombudsman R.I. Arystanbekov and on the appointment of a new Ombudsman for JSC "EIC "KazakhExport".
	On the appointment of employees of the Compliance Service of JSC "EIC "KazakhExport".

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	On early termination of powers of the Deputy Chairman of the Management Board of JSC “EIC “KazakhExport”.
	On approval of the Salary Scheme for the Chairman of the Management Board, members of the Management Board and employees accountable to the Board of Directors of JSC “EIC “KazakhExport” and changes in the size of the official salaries of the Chairman of the Management Board and individual members of the Management Board of JSC “EIC “KazakhExport”.
No. 8 of August 24, 2021 (absentee)	On changes in the composition of the Committees of the Board of Directors of Joint Stock Company “Export Insurance Company “KazakhExport”.
	On approval of amendments to the Regulations on the Internal Audit Service of JSC “EIC “KazakhExport”, approved by the decision of the Board of Directors dated February 23, 2018, No. 2 and the Rules for organizing internal audit in Joint Stock Company “Export Insurance Company “KazakhExport”, approved by the decision of the Board of Directors dated September 30, 2019 (Minutes No. 8).
	On approval of amendments to the Annual Report for 2020 on the implementation of the Development Strategy of JSC “EIC “KazakhExport” for 2014-2023, approved by the decision of the Board of Directors of JSC “EIC “KazakhExport” dated April 27, 2021 (Minutes No. 4).
	On approval of changes to the Implementation Report of the Development Plan of JSC “EIC “KazakhExport” for 2019-2023 at the end of 2020, approved by the decision of the Board of Directors of JSC “EIC “KazakhExport” dated April 27, 2021 (Minutes No. 4).
	On approval of Amendments No. 1 to the Investment Policy of Joint Stock Company “Export Insurance Company “KazakhExport”, approved by the decision of the Board of Directors of JSC “EIC “KazakhExport” dated July 25, 2019 (Minutes No. 6).

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	On approval of Amendments and Additions No. 2 to the Rules for the Management of Temporarily Free Funds of Joint Stock Company "Export Insurance Company "KazakhExport", approved by the decision of the Board of Directors of JSC "EIC "KazakhExport" dated September 30, 2019 (Minutes No. 8).
	On removal from control of measures issued based on the results of audits carried out Internal Audit Service of JSC "EIC "KazakhExport".
	On approval of the Rules for the selection of candidates for the vacant positions of the Internal Audit Service, the Compliance Service, the Corporate Secretary accountable to the Board of Directors of Joint Stock Company "Export Insurance Company "KazakhExport".
	On approval of Amendments No. 3 to the contingency plan and ensuring business continuity of JSC "EIC "KazakhExport", approved by the decision of the Board of Directors of JSC "EIC "KazakhExport" dated July 25, 2019 (Minutes No. 6).
	On approval of Amendments No. 2 to the Policy for the management of operational and associated risks of JSC "EIC "KazakhExport", approved by the decision of the Board of Directors of JSC "EIC "KazakhExport" dated September 26, 2019 (Minutes No. 7).
	On the removal from control of activities within the framework of recommendations issued by the Internal Audit Service of JSC "EIC "KazakhExport" based on the results of the Diagnostics of the Corporate Governance System of JSC "EIC "KazakhExport".
	On submission to the Sole Shareholder of Joint Stock Company "Export Insurance Company "KazakhExport" the issue of approving Amendments and Additions No. 3 to the Regulations on the Board of Directors approved by the decision of the Board of Joint Stock Company "National Management Holding "Baiterek" dated November 28, 2018 (Minutes No. 53/18).
	On approval of Amendments and Additions No. 15 to the Regulations on the Organizational and Functional Management Structure of JSC "EIC "KazakhExport", approved by the decision of the Board of Directors of JSC "EIC "KazakhExport" dated September 08, 2017 (Minutes No. 8), regarding the approval of the Regulations on the Committees of the Board of Directors of JSC "EIC "KazakhExport".

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	On bonuses to the Chairman of the Management Board and members of the Management Board, the Head and Chief Auditor of the Internal Audit Service, the Head and Chief compliance Controller of the Compliance Service, the Corporate Secretary of JSC “EIC “KazakhExport” for the Constitution Day of the Republic of Kazakhstan.
No. 9 of September 29, 2021 (face-to-face)	On approval of the Implementation Report of the Development Plan of JSC “EIC “KazakhExport” for 2019-2023 following the results of 6 months of 2021.
	On approval of the Action Plan for IT audit based on the results of the Information Security and Information Technology Audit of JSC “EIC “KazakhExport” conducted by “KPMG Tax and Advisory” LLP.
	On approval of changes in the risk appetite of JSC “EIC “KazakhExport” for 2021.
	On approval of Amendments and Additions No. 2 to the Limits of liability (insured amounts) under individual insurance/reinsurance contracts (including all treaties of insurance and reinsurance contracts, the object of insurance for which is the property interests of the Policyholders/Beneficiaries associated with losses arising from default by the same legal entity) in the context of classes (types) of insurance of JSC “EIC “KazakhExport”, approved by the decision of the Board of Directors of JSC “EIC “KazakhExport” dated April 24, 2020 (Minutes No. 3).
	On approval of the Risk Management Rules of JSC “EIC “KazakhExport” and invalidation of some internal regulations governing the risk management system in JSC “EIC “KazakhExport”.
	On approval of the Risk Management Policy of JSC “EIC “KazakhExport”.
	On approval of Amendments and Additions No. 1 to the Rules of Joint Stock Company “Export Insurance Company “KazakhExport” for the provision of pre-export financing to exporters, approved by the decision of the Board of Directors dated July 10, 2020 (Minutes No. 6).

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	On approval of Amendments and Additions No. 16 to the Regulations on the Organizational and Functional Management Structure of JSC “EIC “KazakhExport”, approved by the decision of the Board of Directors of JSC “EIC “KazakhExport” dated September 08, 2017 (Minutes No. 8), in terms of updating the Regulations on the Underwriting Council JSC “EIC “KazakhExport” and the Regulations on the Department of Information and Communications of JSC “EIC “KazakhExport”.
	On increasing the liabilities of JSC “EIC “KazakhExport” by an amount of ten or more percent of the size of equity capital in connection with the conclusion of a Voluntary Loan Insurance Agreement between JSC “EIC “KazakhExport”, LLP “QAZAQ ASTYQ GROUP” and “Development Bank of Kazakhstan” JSC, which is a party related to JSC “EIC “KazakhExport” by special relations.
	On approval of the adjusted KPI Cards of members of the Management Board of JSC “EIC “KazakhExport” for 2021.
	On the election of Kuanysh Amangeldiyevich Mukazhanov as a member of the Management Board of JSC “EIC “KazakhExport”, Deputy Chairman of the Management Board of JSC “EIC “KazakhExport” and designation of the size of his official salary.
	On increasing the obligations of JSC “EIC “KazakhExport” by an amount of ten or more percent of the size of equity capital in connection with the conclusion of the Voluntary Loan Insurance Agreement between JSC “EIC “KazakhExport”, LLP “Altyn Shyghys” and “Development Bank of Kazakhstan” JSC, being a party associated with JSC “EIC “KazakhExport” by special relations.

Corporate secretary

A. Uralbayeva



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